

[non-binding translation]

List of Fees of the Reporting Office of BX Swiss AG

1. Purpose and subject matter

- 1.1. The List of Fees of the reporting office of the BX Swiss AG (hereinafter “BX”) governs the fees which participants are obligated to pay to the reporting office pursuant to the rules of the BX.

2. Reporting fees

- 2.1. In principle, the reporting fees are due per report and securities dealer who is subject to the reporting obligation.
- 2.2. Designated market makers are exempt from the reporting fee for their own instruments.
- 2.3. On-order-book trades are exempt from the reporting fee.
- 2.4. trade report (off-order-book trade, off-trade)
- | | | |
|------------------------------------|-----|-------|
| domestic instruments, all segments | bps | 0.25 |
| minimum fee | | 1.50 |
| maximum fee | | 25.00 |
- 2.5. trade report foreign instruments, all segments
- | | | |
|--|-----|------|
| | CHF | 0.20 |
|--|-----|------|
- 2.6. transaction report (order transmission), all instruments
- | | | |
|--|-----|------|
| | CHF | 0.20 |
|--|-----|------|
- 2.7. trade or transaction report for subscription rights
- | | | |
|--|--|------|
| | | free |
|--|--|------|
- 2.8. trade or transaction report for instruments that are not admitted to trading on the BX (BX as recognised reporting office)
- | | | |
|--|-----|------|
| | CHF | 0.20 |
|--|-----|------|
- 2.9. minimum fee per month, if reports in that month
- | | | |
|--|-----|-------|
| | CHF | 50.00 |
|--|-----|-------|
- 2.10. maximum fee per month for reports from Remote Participants via a recognised trade data monitor (TDM). The TDM levies its own additional fees.
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| | CHF | 2,500.00 |
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3. Final provisions

- 3.1. The general provisions of the List of Fees of the BX apply.
- 3.2. This List of Fees was adopted by the board of directors on 19 July 2018, approved by the FINMA on 23 August 2018, and enters into force on 27 August 2018.